

Practitioner Diversion Awareness Conference

Registration

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Course Objectives

- Explain why the DEA issues registrations, the type of registrations that the DEA issues, and how such registrations fit into the closed system of distribution.
- Explain how a practitioner obtains a DEA registration, and what is required by the DEA as part of this process.
- Explain the limits of a DEA registration, and the coincident activities that a practitioner can perform.





- Outline when a practitioner would need more than one DEA registration.
- Review some common problems practitioners have encountered regarding their DEA registration and how they were resolved.
- Explain some of the basic steps a practitioner can take to reduce the chance of their DEA registration being used in the diversion of controlled substances.



Questions To Discuss

At the completion of this block of instruction you will be able to answer the following questions:

1. What is the current number of DEA registrants?

2. As an individual practitioner, how many associates in my practice can I authorize to use my registration number to prescribe controlled substances?



Questions To Discuss

3. Is a DEA practitioner registration always for a three year period?

4. Is a DEA registration number publically available?

5. What should I do to prevent my DEA registration from being used for diversion?



Registration Requirements for Practitioners

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Registration Requirements

First, let's begin by clarifying a few points.

The truth is that although a DEA registration is a Federal registration it does NOT allow you to prescribe controlled substances anywhere you wish to in the United States.







Registration Requirements

- If you change your last name you are NOT required to obtain a new DEA registration number.
- A DEA registration number is NOT privileged information, but is available to the public.



Closed System of Distribution



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The Registrant Community

•Total number of DEA registrants: 1,855,954

•Total number of Practitioners: 1,326,530

•Total number of Mid Level Practitioners: 421,441

Total number of Pharmacies: 70,896

•Total number of Hospitals: 18,474





- Website: <u>www.DEAdiversion.usdoj.gov</u>

- Go to "Registration" (located at the top of the page)
- Form used by the new applicant: DEA Form 224



How to Apply

What if you encounter problems?

If you encounter problems, contact the DEA Registration Service Center.

DEA.Registration.Help@usdoj.gov Or

1-800-882-9539

Or . . .

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Contact the DEA Registration Specialist in your area. To find their contact information go to the above website and look under "About Us."

For example, using this method the Registration Specialist's contact information for Overland Park, KS is Phone – (888) 803-1179 or (314) 538-4628.



How to Apply

The Registration Specialist for your area can be located on the <u>Diversion Control Divisions</u> web-site.

You can search by zip code, city, county, or state.



Search Results

For Routine Registration assistance about new applications, renewal applications, order forms, or changes to an application or DEA registration: contact a Registration Program Specialist during normal business hours.

Location	Registration Assistance
MONTGOMERY RESIDENT OFFICE 2350 FAIRLANE DR SUITE 200 MONTGOMERY, AL 38116	
BIRMINGHAM DISTRICT OFFICE 920 EIGHTEENTH ST N BIRMINGHAM, AL 35203	Phone 1: (571) 382 4891 Phone 2: (571) 382 4890 Email 1: <u>NEW.ORLEANS.DIVERSION.REGISTRATION@USDOJ.GOV</u>
MOBILE RESIDENT OFFICE 900 WESTERN AMERICA CIR SUITE 501 MOBILE, AL 38609	Phone 1: (571) 382 4890 Phone 2: (571) 382 4891 Email 1: <u>NEW.ORLEANS.DIVERSION.REGISTRATION@USDOJ.GOV</u>

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How to Apply

The DEA cannot consider an application unless you first have state controlled substance authority, and each state is different:

- State Medical License
- State controlled substance registration (if required)
- Other state licensure (if required)
- Certificates of advanced training (if required)



Practice Address vs Mailing Address

During the application process you are asked for your Practice Address, and you are asked for your Mail-to Address if these two addresses are different.

Your Practice Address is your principal place of business or professional practice pursuant to Title 21, C.F.R. 1301.12(a). This is the address on your DEA certificate.

Your mail-to address is for correspondence.

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DEA Certificate

DEA REGISTRATION NUMBER	THIS REGISTRATION EXPIRES	FEE PAID	CONTROLLED SUBSTANCE REGISTRATION CERTIFICATE UNITED STATES DEPARTMENT OF JUSTICE
PB009	12-31-2016	Exempt	DRUG ENFORCEMENT ADMINISTRATION WASHINGTON D.C. 20537
SCHEDULES	BUSINESS ACTIVITY	ISSUE DATE	
1,2,2N 3,3N,4,5	ANALYTICAL LAB	12-15-2015	
DRUG ENFORCEM MIAMI FIELD DIVIS 2100 N COMMERC	SION CE PKWY		Sections 304 and 1008 (21 USC 824 and 958) of the Controlled Substances Act of 1970, as amended, provide that the Attorney General may revoke or suspend a registration to manufacture, distribute, dispense, import or export a controlled substance.
ATTN: DIVERSION WESTON, FL 333			THIS CERTIFICATE IS NOT TRANSFERABLE ON CHANGE OF OWNERSHIP, CONTROL, LOCATION, OR BUSINESS ACTIVITY, AND IT IS NOT VALID AFTER THE EXPIRATION DATE.
	UNIT	ED STATES DEPART	
	UNIT		MENT OF JUSTICE
DEA REGISTRATION	UNIT	ED STATES DEPART	MENT OF JUSTICE
	UNIT DRI THIS REGISTRATION	ED STATES DEPART UG ENFORCEMENT / WASHINGTON D	MENT OF JUSTICE
UMBER	UNIT DRI THIS REGISTRATION EXPIRES	ED STATES DEPART UG ENFORCEMENT / WASHINGTON D	MENT OF JUSTICE ADMINISTRATION 0.C. 20537
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NUMBER PB009 SCHEDULES 1,2,2N	UNIT DRI THIS REGISTRATION EXPIRES 12-31-2016 BUSINESS ACTIVITY ANALYTICAL LAB	ED STATES DEPART UG ENFORCEMENT / WASHINGTON D FEE PAID Exempt ISSUE DATE	MENT OF JUSTICE ADMINISTRATION 0.C. 20537

THIS CERTIFICATE IS NOT TRANSFERABLE ON CHANGE OF OWNERSHIP, CONTROL, LOCATION, OR BUSINESS ACTIVITY, AND IT IS NOT VALID AFTER THE EXPIRATION DATE.





The DEA is required to turn over the DEA registration, and other information found on the DEA certificate, to the U.S. Department of Commerce.

The Department of Commerce sells this information through the National Technical Information Service (NTIS) to registrants such as your neighborhood pharmacy.



A DEA registration cannot allow you to do something that is otherwise prohibited by state law.

For Example: If you are registered by the state in only schedules III-V the DEA cannot authorize you to handle schedule II.

For Example: If state law says you cannot procure controlled substances, the DEA cannot authorize you to do so.



Final Rule: <u>Clarification of Registration Requirements for Individual</u> <u>Practitioners</u> (December 1, 2006)

A practitioner can only administer, dispense, prescribe, procure, or store controlled substances in a state if they first hold a DEA registration in that state. The authority granted under this registration ends at that state's border.

A practitioner can only administer, dispense, procure, or store a controlled substance at a location where he or she is registered with the DEA.



When more than one DEA registration number is needed:

- If a practitioner plans to administer, dispense, procure or store controlled substances at more than one location in a state.
- If a practitioner plans to administer, dispense, prescribe, procure, or store controlled substances in more than one state.
- If the practitioner is registered with the DEA in one state and is treating a patient in another state by telemedicine.



Unique exception: The Medical Bag. Administering or dispensing a controlled substance inside a state where a practitioner is registered, but at other than the practitioner's DEA registered location.

Note: Random and as needed is – fact specific



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Coincident Activities allowed. 21 C.F.R. 1301.13(e)(1)(iv)

Practitioner: May conduct research and instructional activities with those substances for which registration was granted, except that a mid-level practitioner may conduct such research only to the extent expressly authorized under state statute.



The applicant does not have appropriate state authority.

The registrant assumes their first registration will be for 36 months.

The applicant, or renewing registrant, fails to mark all appropriate schedules.

The registrant fails to renew their registration in a timely manner.



Failure to maintain state licensure once achieved.

Failure to notify the DEA of an email address change.

Believing that the practitioner needs a separate DEA registration for each location in the same state where they only prescribe, (other than the one registration they already hold in that state).



Renewals submitted simultaneously by both the practitioner and the practitioner's office.

Applying or renewing using an expired credit card or using a check from a closed account.

Relying upon an office manager to renew for the practitioner (but they do not).

Applying for new DEA # due to a name change.



Changing practice address:

In same state

To another state

In a national emergency



Discontinuing Practice: Permanently

DEA.Registration.Help@usdoj.gov

Drug Enforcement Administration Attn: Registration Section DRR P.O. Box 2639 Springfield, VA 22152-2639



Hospitals and DEA Registrations

Title 21 C.F.R. § 1301.22(c)(1-6)

An individual practitioner who is an agent or employee of a hospital or other institution may, when acting in the normal course of business or employment, administer, dispense, or prescribe controlled substances under the registration of the hospital or other institution which is registered in lieu of being registered him/herself, provided that 6 conditions are met.



Hospitals and DEA Registrations

Using the number off-site:

When you can use it off-site

When you cannot use it off-site



Group Practice Setting

Title 21 C.F.R. 1301.22(b)

(b) An individual practitioner who is an agent or employee of another practitioner (other than a mid-level practitioner) registered to dispense controlled substances may, when acting in the normal course of business or employment, administer or dispense (other than by issuance of prescription) controlled substances if and to the extent that such individual practitioner is authorized or permitted to do so by the jurisdiction in which he or she practices, under the registration of the employer or principal practitioner in lieu of being registered him/herself.



Group Practice Setting

What this lets you do

What this does not let you do



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Fee Exempt Registrations (Not Military)

21 C.F.R. 1301.21

Conditions under which it is granted

Limits of this registration

Conversion to a fee paid registration

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DEA Registration (Military) 21 C.F.R 1301.23

Conditions under which it can be used.

Conditions under which it cannot be used.

When you leave the military.

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Qualified Practitioners and Other Qualified Practitioners

The Unique Identification Number, often called the X number, is not a DEA registration. It is certification that the prescriber has met all the necessary requirements to use FDA approved Schedules III-V narcotics for the treatment of opioid use disorder.

Unlike the DEA registration number, only one Unique Identification Number is issued.



Qualified Practitioners and Other Qualified Practitioners

When prescribing FDA approved Schedules III-V narcotics for the treatment of opioid use disorder the prescriber must place both their DEA registration number and their Unique Identification Number on the prescription.



Steps to Reduce Diversion

Steps you can take to reduce the chance of your registration being used in the diversion of controlled substances.

Check your PMP for use:

- False prescriptions
- Sloppy use by pharmacies
- Misuse by office staff





Steps to Reduce Diversion

Do not print your full DEA registration number on your prescription. Instead add the last few digits yourself.

Limit the amount you prescribe and the refills you authorize, if this is not already required by your state.



Steps to Reduce Diversion

Stick with certain controlled substances, and strengths thus calling to attention any deviation from this pattern.

When issuing paper prescriptions, list both the # being prescribed and write out the amount.

Cooperate with your local pharmacists.

Do not leave your prescription pad unattended, and lock it up if not in use.



Course Review

We reviewed why the DEA issues registrations, how to apply, and how registrations fit into the closed system of distribution.

We identified and discussed what a DEA registration does, and does not let you do.

We reviewed some common problems practitioners have encountered regarding their DEA registration, and how these are resolved.

We listed some of the steps a practitioner can take to reduce the chance that their DEA registration will be used to divert controlled substances.





1. What is the current number of DEA registrants?

- A. Under 250,000
- **B.** 780,000
- C. 1, 100,000
- **D.** Over 1,800,000





- 2. As an individual practitioner, how many associates in my practice can I authorize to use my registration number to prescribe controlled substances.
 - A. NoneB. OneC. Up to threeD. Up to five





3. Is a DEA practitioner registration always for a three year period?

A. YesB. No





4. Is a DEA registration number publically available?

A. YesB. No

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- 5. What should I do to prevent my DEA registration from being used for diversion?
 - A. Lock up my prescription pads.
 B. Limit my prescribing to the amount I believe is currently medically necessary.
 C. Check my state's prescription monitoring program.
 - **D.** All of the above.



Thank you for your time and attention !



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