

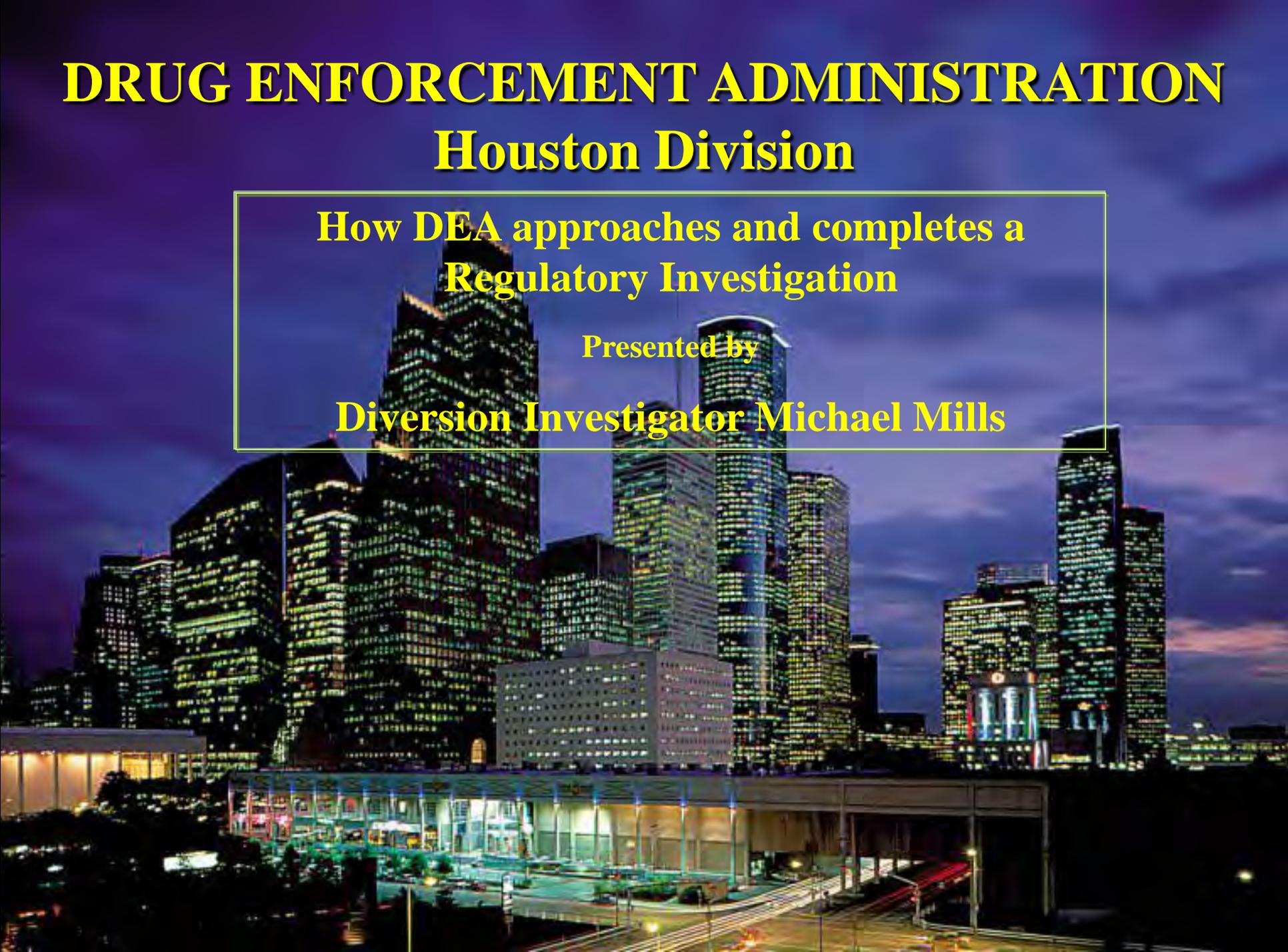
DRUG ENFORCEMENT ADMINISTRATION

Houston Division

**How DEA approaches and completes a
Regulatory Investigation**

Presented by

Diversion Investigator Michael Mills



Preparation

- Background
- Previous Scheduled Investigations
- State Licenses
- ARCOS
- Location, Location, Location

Regulatory Investigation

Audit

Records

Inventory

INTERVIEW

Security

Interview

- Corporate Officers
- The Who, What, Where, Why, and don't forget the How
- Theft/Losses
- ARCOS Reporting
- Suspicious Orders



Records

- DEA-222
- Invoices or Purchase Orders
- DEA-106
- Inventories
 - Biennial
 - Initial
- Transfers

Security

- Tour of facility
- Safe/Vault Inspection
- Alarm Test



Administrative Action

- ✓ Letter of Admonition
- ✓ Administrative Hearing
- ✓ Order to Show Cause
- ✓ Immediate Suspension Order

Civil Fines

- √ Refer to Civil AUSA
- √ Records - \$10,000
- √ Controlled Substances - \$25,000

DRUG ENFORCEMENT ADMINISTRATION

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