

Drug Enforcement Administration

Drug Theft Prevention

February 8 & 9, 2014

Charlotte, NC



Reporting Thefts or Losses

- **Must report thefts and significant losses to the DEA within one business day upon discovery (1301.76)**
- **Should report all thefts to the local law enforcement agency and board of pharmacy**
- **Must complete the DEA Form 106**

Reporting Thefts or Losses

- To report a theft or loss of controlled substances on-line, visit the DEA's Office of Diversion Control website at www.DEAdiversion.usdoj.gov
- The electronic version of the DEA Form 106, Report of Theft or Loss of Controlled Substances
- Complete the form and submit it electronically



U.S. DEPARTMENT OF JUSTICE ★ DRUG ENFORCEMENT ADMINISTRATION OFFICE OF DIVERSION CONTROL

- HOME
- REGISTRATION
- REPORTING**
- RESOURCES
- ABOUT US

Welcome to the
DEA Office of Diversion Control

NEWLY DESIGNED WEBSITE

*New Look, New Feel, Same Core Functionality
Featuring Improved Navigation and A-Z Subject Index*

- ARCOS
- BCM Online
- Chemical Import/Export Declarations
- CSOS (Controlled Substances Ordering System)
- Drug Theft/Loss
- Import/Export
- Inventory of Drugs Surrendered
- Quotas
- Reports Required by 21 CFR
- Suspicious Internet Pharmacies
- Year-End Reports

Registration Support

Call: 1-800-882-9539 (8:30 am-6:00 pm EST)
Email: DEA.Registration.Help@usdoj.gov

- Applications
- Registration Changes (Address, Drug Code, Name, Schedule)
- Combat Meth Epidemic Act
- Service Plan for Registrants
- Certificate Request
- Receipt of Registration
- Work with Field Registration Program Specialists
- Renewals (DEA 222)
- Registration Validation

- What's New**
- Irix Manufacturing, Inc.** (January 29, 2014)
 - Schedules of Controlled Substances: Temporary Placement of 10 Synthetic Cathinones into Schedule I** (January 28, 2014)
 - Roderick Lee Mitchell, M.D.; Decision and Order** (January 22, 2014)
 - Noramco, Inc.** (January 22, 2014)
 - Noramco, Inc.** (January 22, 2014)
 - Noramco, Inc.** (January 22, 2014)
 - 60-Day Notice - Comments Requested: Drug Questionnaire; DEA Form 341** (January 21, 2014)
 - James Clopton, M.D.; Decision and Order** (January 14, 2014)
 - Schedules of Controlled Substances: Temporary Placement of Four Synthetic Cannabinoids Into Schedule I** (January 10, 2014)

- In The News**
- Three More Synthetic Drugs Become Illegal for at Least Two Years** (November 15, 2013)
 - Plummer Woman Sentenced for Conspiring to Distribute Meth** (November 15, 2013)

DEA Forms & Applications

Publications & Manuals

Questions & Answers

Meetings & Events

- Quick Links**
- ARCOS (Automation of Reports & Consolidated Orders System)
 - Cases Against Doctors
 - Chemical Control Program
 - CSOS (Controlled Substances Ordering System)
 - EPCS (Electronic Prescriptions for Controlled Substances)
 - DEA Form 106: Report Theft or Loss of Controlled Substances
 - Find Your Local DEA Office

Electronic DEA-106

APPLICATION STRUCTURE

Section 1: Authentication and Report

Section 2: Theft/Loss Details

Section 3: Lost/Stolen Product Entry Page

Section 4: Signature

Section 5: Theft/Loss Summary

Section 6: Controlled Substance Summary and Certification

Section 7: Submit Report

Section 8: Print



Report of Theft or Loss of Controlled Substances
Under Controlled Substance Act of 1970

INSTRUCTIONS for Form DEA-106, Report of Theft or Loss of Controlled Substances - Revised October 2008

General Instructions

WHATS NEW

Changes to the Controlled Substances Section. The DEA is now requiring registrants to enter the National Drug Code (NDC) of the controlled substances lost or stolen.

Discontinued Fields. With the implementation of the NDC number, DEA will no longer require registrants to enter specific information pertaining to product lost or stolen. Inputting the NDC number will auto-populate all require information needed for data collection and report generation.

Purpose of Form

The DEA-106 is for reporting any theft or loss of controlled substances.

Do not use this form if:

1. You have not previously registered with the DEA.
2. The theft or loss you are reporting is not of a controlled substance, or
3. You want to correct minor inventory shortages.

Additional Information

The online version of Form DEA 106 has 8 sections. What follows is a description of each section and the information you will need to successfully fill out this online form. Please note that all pages where you are required to supply information, there is a section labeled "Help." For any field on the page for which you require clarification, place the cursor in the field and click. Help text will appear in the Help section.

SPECIFIC INSTRUCTIONS

Section 1. Authentication and Report Selection

You will be asked to enter your DEA Number and your last name or the business name you used to register with the DEA. The name you supply must match exactly the name on your registration. You also will be able to choose whether to file a new report, or amend a report previously entered.

Section 2. Theft/Loss Details

You will be asked to provide background information relating to this loss or theft incident, such as the date and place, the type (night break-in, armed robbery, etc.), and the estimated value of the controlled substances, etc. Responses may require additional information, for example, indicating that a police has been filed will open new fields requesting the police department's name and telephone number.

Section 3. Controlled Substances

You will be asked to provide the National Drug Code (NDC) and quantity of the controlled substance being reported as a theft or loss. If the substance is liquid or powder, you will need to enter the total milliliters/milligrams, if tablet, enter the total count of tablets.

Section 4. Signature

You will be asked to supply the name and title of the person filing this report.

Section 5. Theft/Loss Summary

Information regarding the details of the theft or loss will be presented to you for confirmation; changes to the information may be made. You may also change information in the Signature section.

Section 6. Controlled Substances Summary and Certification

Information on the substances will be displayed, and may be changed. Additionally, you will be required to enter the name of the certifying official who attests to the validity of the information included in the report.

Section 7. Submit Report

Submitting the report will generate an amendment key. Please save the amendment key in order to be able to modify information using the online DEA-106 report in the future.

Section 8. Print

You may send a copy of the DEA-106 report to your local printer. DEA regulations specify that you keep a copy of this report for two years.

Please do not use your browser's BACK and FORWARD buttons while navigating this form.

Begin

DRUG THEFT LOSS SYSTEM - Electronic DEA-106

Section 1: Authentication & Report

The screenshot shows the user login interface for the Drug Theft Loss System. At the top, there is a blue banner with the U.S. Department of Justice Drug Enforcement Administration logo on the left, the text "U.S. Department of Justice Drug Enforcement Administration" in the center, and a stylized American flag on the right. Below the banner, the text "DIVERSION CONTROL PROGRAM" is displayed in a large, white, serif font, and "DEA FORM 106" is displayed in a large, bold, blue, sans-serif font. The main content area is divided into two sections. On the left, there is a "HELP" section with a yellow border containing instructions: "Please enter the registrant's DEA number. The first two characters must be uppercase. [Required]" and a link to "General Instructions." On the right, there is a "User Login" section with a yellow header "Form 106 Login" and two input fields: "DEA Number:" and "Last Name:". Below the input fields, there is a warning: "Please do not use your browser's BACK and FORWARD buttons while navigating this form." At the bottom of the login section, there are three buttons: "<-Previous", "-Cancel-", and "Login".

U.S. Department of Justice
Drug Enforcement Administration

DIVERSION CONTROL PROGRAM

DEA FORM 106

HELP

Please enter the registrant's DEA number. The first two characters must be uppercase. [Required]

[General Instructions.](#)

User Login

Form 106 Login

DEA Number:

Last Name:

Please do not use your browser's BACK and FORWARD buttons while navigating this form.

<-Previous -Cancel- Login

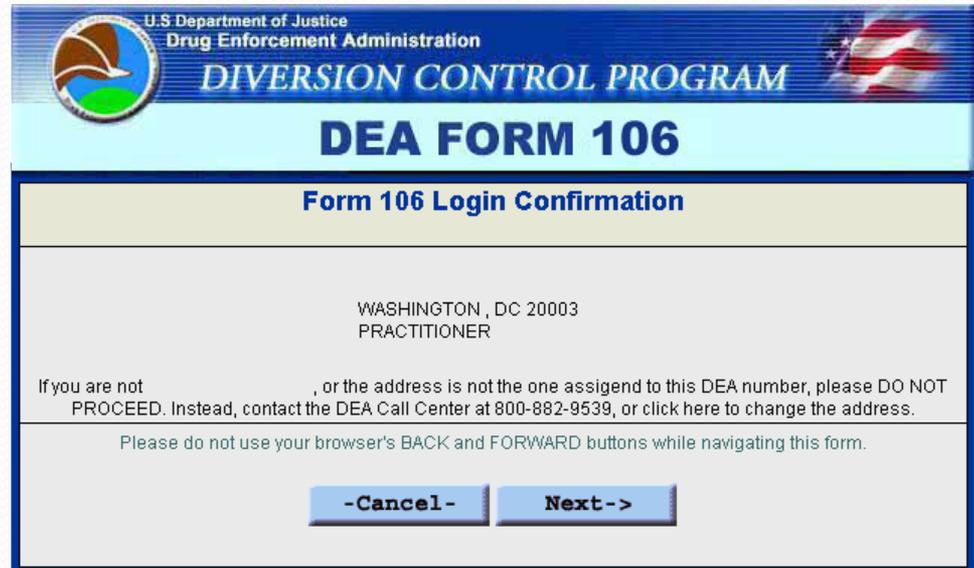
Drug Theft/Loss Login Screen

DRUG THEFT LOSS SYSTEM

Electronic DEA-106

The Login Confirmation Screen will be displayed, showing the registrant's name, address, and business activity.

This is a sample of the login confirmation page, with registrant name and address information removed.



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Drug Enforcement Administration
DIVERSION CONTROL PROGRAM
DEA FORM 106

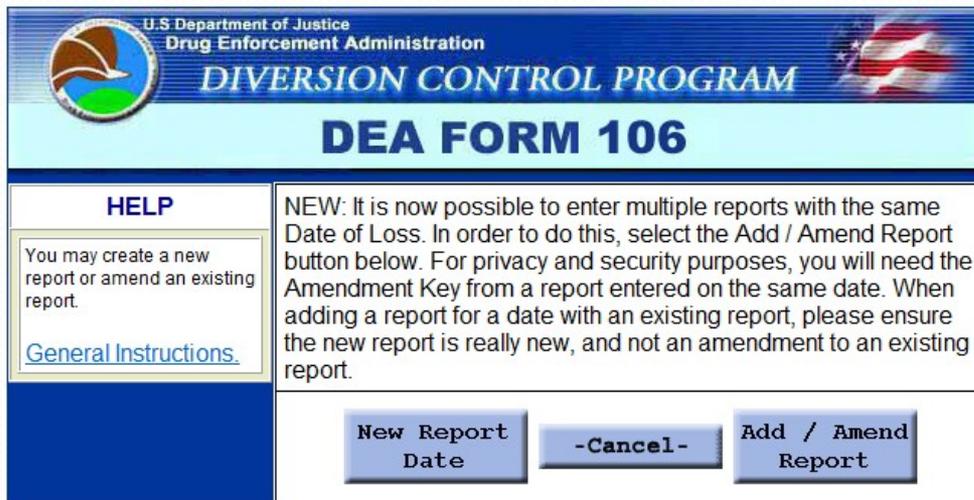
Form 106 Login Confirmation

WASHINGTON, DC 20003
PRACTITIONER

If you are not [redacted], or the address is not the one assigned to this DEA number, please DO NOT PROCEED. Instead, contact the DEA Call Center at 800-882-9539, or click here to change the address.

Please do not use your browser's BACK and FORWARD buttons while navigating this form.

-Cancel- **Next ->**



U.S. Department of Justice
Drug Enforcement Administration
DIVERSION CONTROL PROGRAM
DEA FORM 106

HELP

You may create a new report or amend an existing report.

[General Instructions.](#)

NEW: It is now possible to enter multiple reports with the same Date of Loss. In order to do this, select the Add / Amend Report button below. For privacy and security purposes, you will need the Amendment Key from a report entered on the same date. When adding a report for a date with an existing report, please ensure the new report is really new, and not an amendment to an existing report.

New Report Date **-Cancel-** **Add / Amend Report**

New Report will open a blank report for completion

Cancel will terminate the application without transmitting any data

Add/Amend Report will allow registrants to change data on previously submitted reports after verifying user credentials

DRUG THEFT LOSS SYSTEM

Electronic DEA-106

U.S. Department of Justice
Drug Enforcement Administration
DIVERSION CONTROL PROGRAM
DEA FORM 106

HELP

Click inside the individual data fields to get Help for that field.

[General Instructions.](#)

Theft / Loss Details

* Date of Theft / Loss: -Month- -Day- -Year-

* Police Report Filed: Yes No

* Type of Theft / Loss:
Armed Robbery
Customer Theft
Employee Pilferage
Lost In Transit
Night Break-In
Other

* Number of prior incidents in the past two years

* Total Purchase Value of Controlled Substances (reported on this form)

Items lost other than controlled substances: Yes No

Identifying Marks, Symbols, Price Codes (Controlled Substances only)

DEA Form 222 Numbers (If Stolen)

New Security Measures

*Fields prefixed with * are required.*

Please do not use your browser's BACK and FORWARD buttons while navigating this form.

<-Previous -Cancel- Next->

Section 2: Theft Loss Details

In this section you will provide background information relating to the theft or loss incident including:

- Date, place, and time
- Type of incident
- Estimated value

Responses to the type of incident may require additional information.

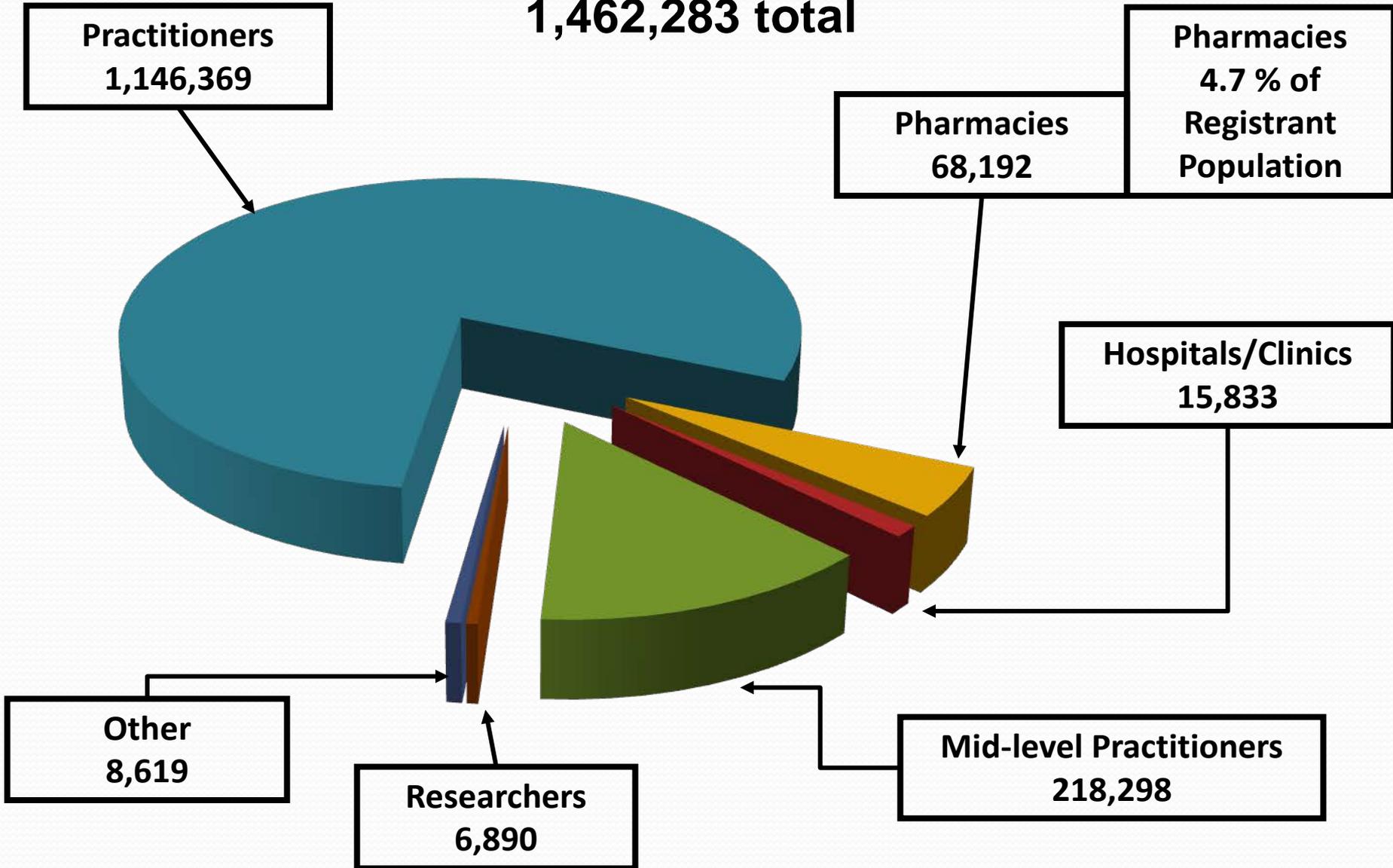
Previous - Return to Login Confirmation page;

Cancel - Terminate the application without transmitting any data;

Next will proceed with the application

Active Registrants

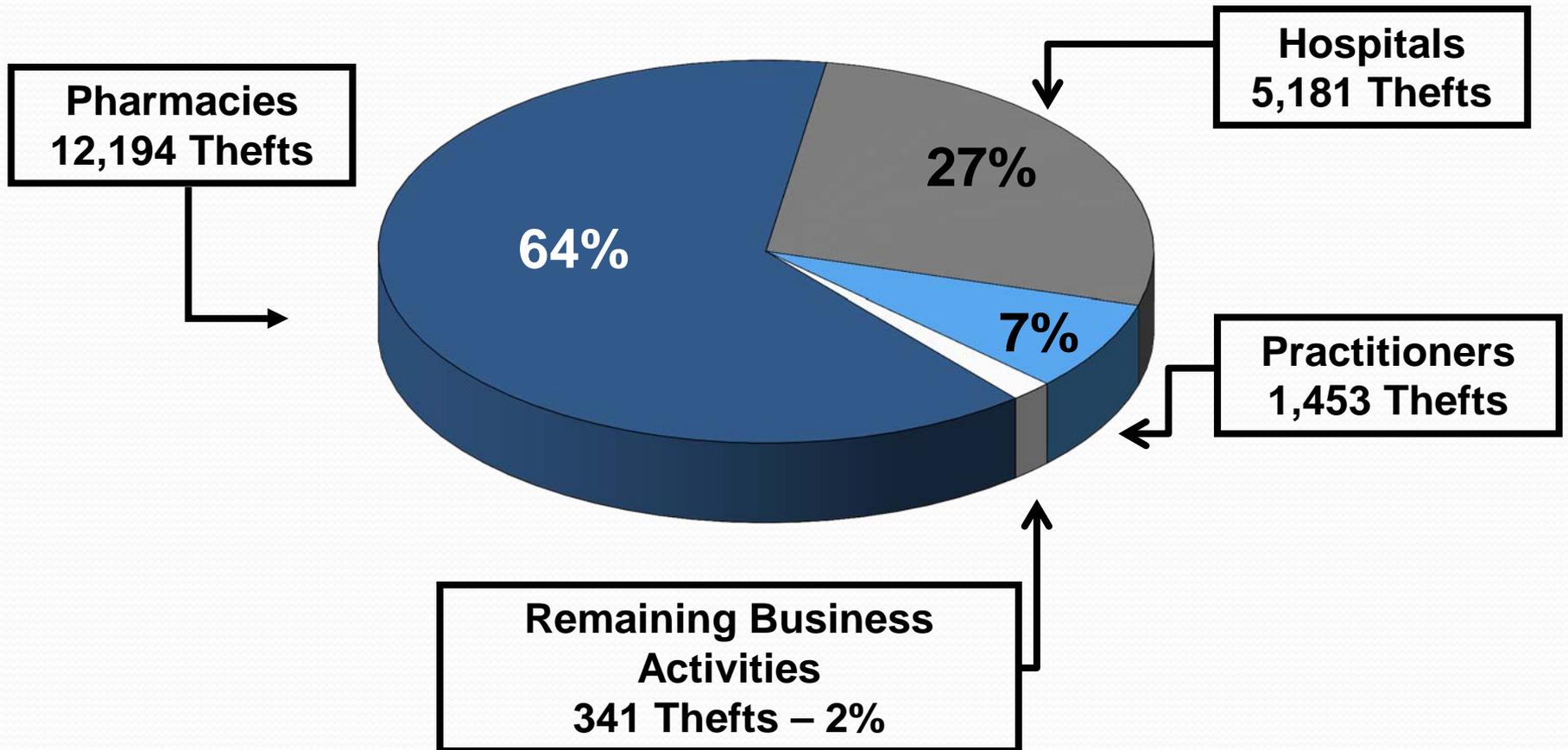
1,462,283 total



Nationwide Reported Thefts

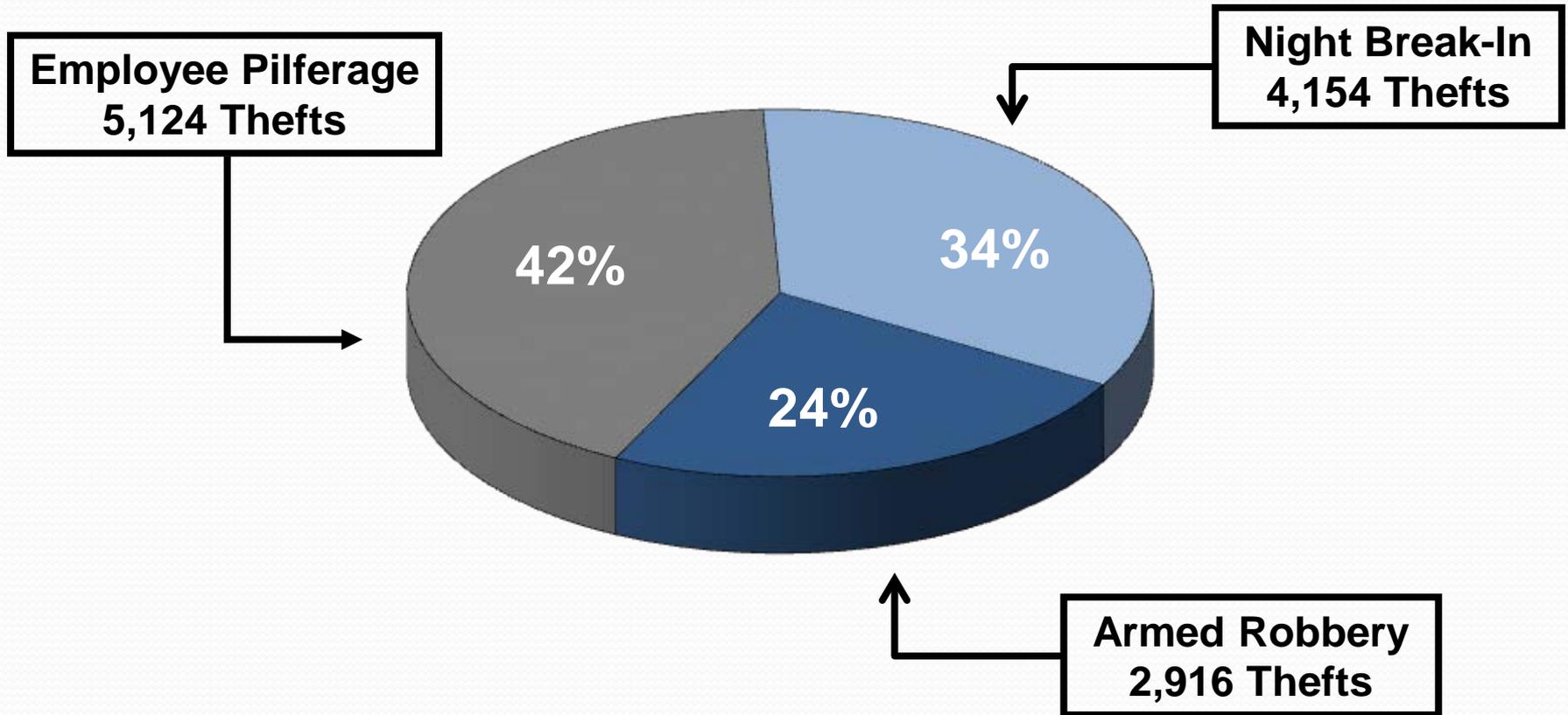
(Armed Robbery, Employee Pilferage and Night Break-In Only)

January 1, 2010 – December 31, 2013

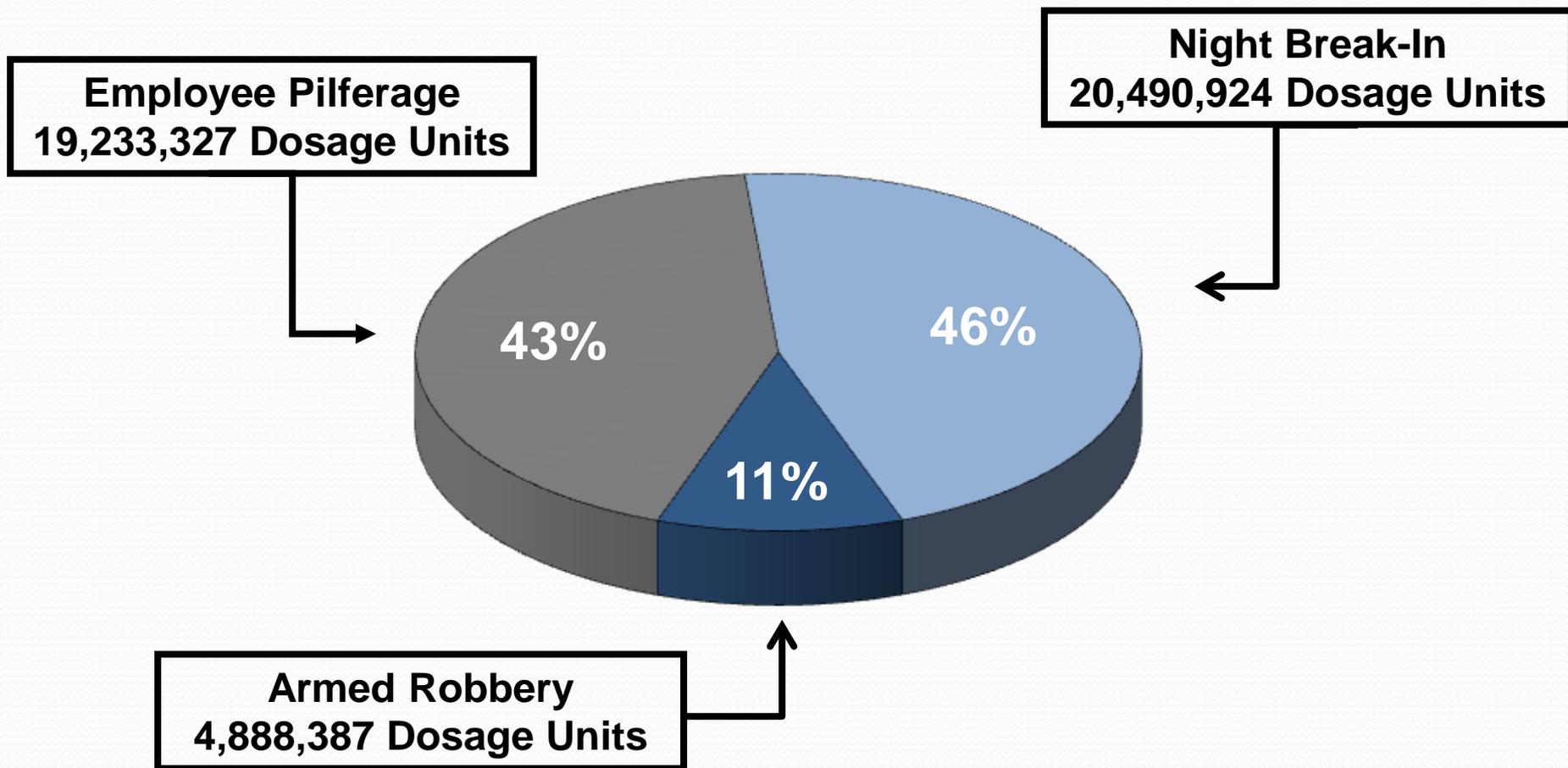


Number of Pharmacy Thefts Nationwide

January 1, 2010 – December 31, 2013



Nationwide Pharmacy Thefts in Dosage Units January 1, 2010 – December 31, 2013



Pharmacy Armed Robberies

Rankings by State

January 1 thru December 31, 2011 (693)

RANK	STATE	TOTAL												
1	IN	53	12	MD	22	23	MA	12	34	UT	4	45	SD	1
2	AZ	50	13	WA	21	24	TX	11	35	DE	3	56	WY	1
3	FL	49	14	OK	19	25	IL	8	36	CT	2	47	AK	0
4	TN	43	15	ME	17	26	MS	8	37	MT	2	48	AS	0
5	CA	39	16	NV	17	27	MN	7	38	WV	2	49	DC	0
6	CO	38	17	OH	16	28	MO	7	39	IA	1	50	GU	0
7	PA	36	18	SC	15	29	NH	7	40	ID	1	51	HI	0
8	NY	29	19	OR	15	30	WI	6	41	KS	1	52	ND	0
9	KY	27	20	NJ	14	31	AR	5	42	NE	1	53	PR	0
10	MI	24	21	VA	13	32	GA	5	43	NM	1	54	VI	0
11	NC	23	22	AL	12	33	LA	4	44	RI	1	55	VT	0

Pharmacy Armed Robberies

Rankings by State

January 1 thru December 31, 2012 (780)

RANK	STATE	TOTAL												
1	IN	104	12	NY	22	23	WA	13	34	NV	5	45	DE	1
2	AZ	66	13	WI	20	24	AL	11	35	RI	5	46	WY	1
3	OH	49	14	CO	19	25	MN	10	36	NE	4	47	AK	0
4	PA	43	15	OK	19	26	AR	7	37	IA	3	48	AS	0
5	TN	41	16	SC	18	27	NH	7	38	MS	3	49	DC	0
6	CA	37	17	FL	17	28	GA	6	39	KS	2	50	GU	0
7	ME	36	18	VA	17	29	MO	6	40	MT	2	51	HI	0
8	TX	28	19	KY	16	30	NM	6	41	PR	2	52	ID	0
9	MD	26	20	MI	14	31	OR	6	42	UT	2	53	ND	0
10	MA	23	21	NJ	14	32	CT	5	43	VT	2	54	SD	0
11	NC	22	22	IL	13	33	LA	5	44	WV	2	55	VI	0

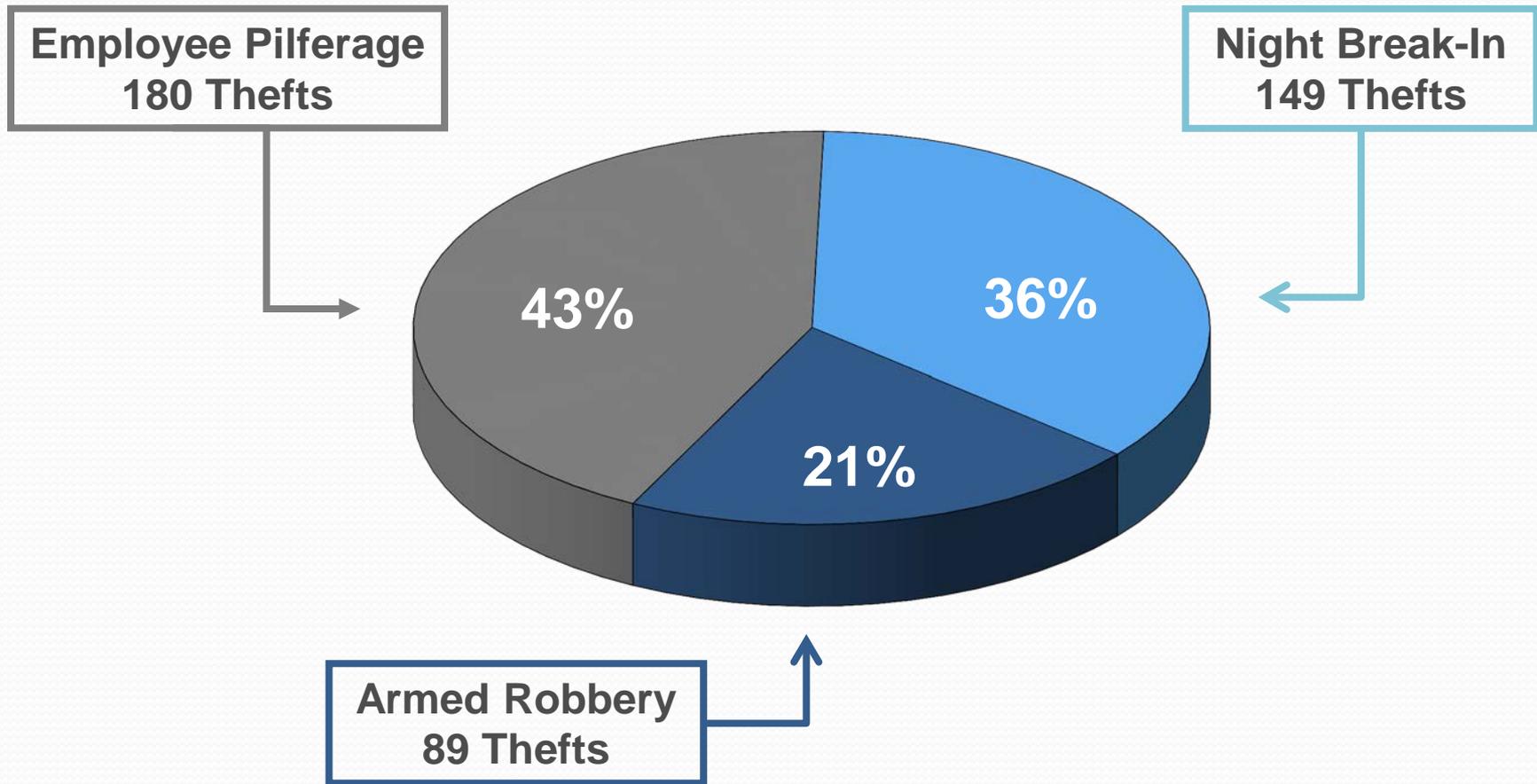
Pharmacy Armed Robberies

Rankings by State

January 1 thru December 31, 2013 (638)

RANK	STATE	TOTAL												
1	AZ	73	12	MD	18	23	NM	8	34	ID	3	45	MS	1
2	IN	59	13	NJ	18	24	AL	7	35	MN	3	46	MT	1
3	CA	45	14	NY	16	25	IA	7	36	NE	3	47	AK	0
4	PA	40	15	CT	15	26	OR	7	37	NH	3	48	AS	0
5	TN	33	16	VA	14	27	GA	5	38	RI	3	49	DC	0
6	MA	30	17	WA	14	28	ME	5	39	WY	3	50	GU	0
7	NC	30	18	FL	12	29	MI	5	40	DE	2	51	ND	0
8	OH	27	19	CO	11	30	AR	4	41	IL	2	52	PR	0
9	TX	24	20	OK	10	31	KS	4	42	LA	2	53	SD	0
10	SC	22	21	KY	9	32	VT	4	43	NV	2	54	UT	0
11	WI	20	22	MO	9	33	WV	4	44	HI	1	55	VI	0

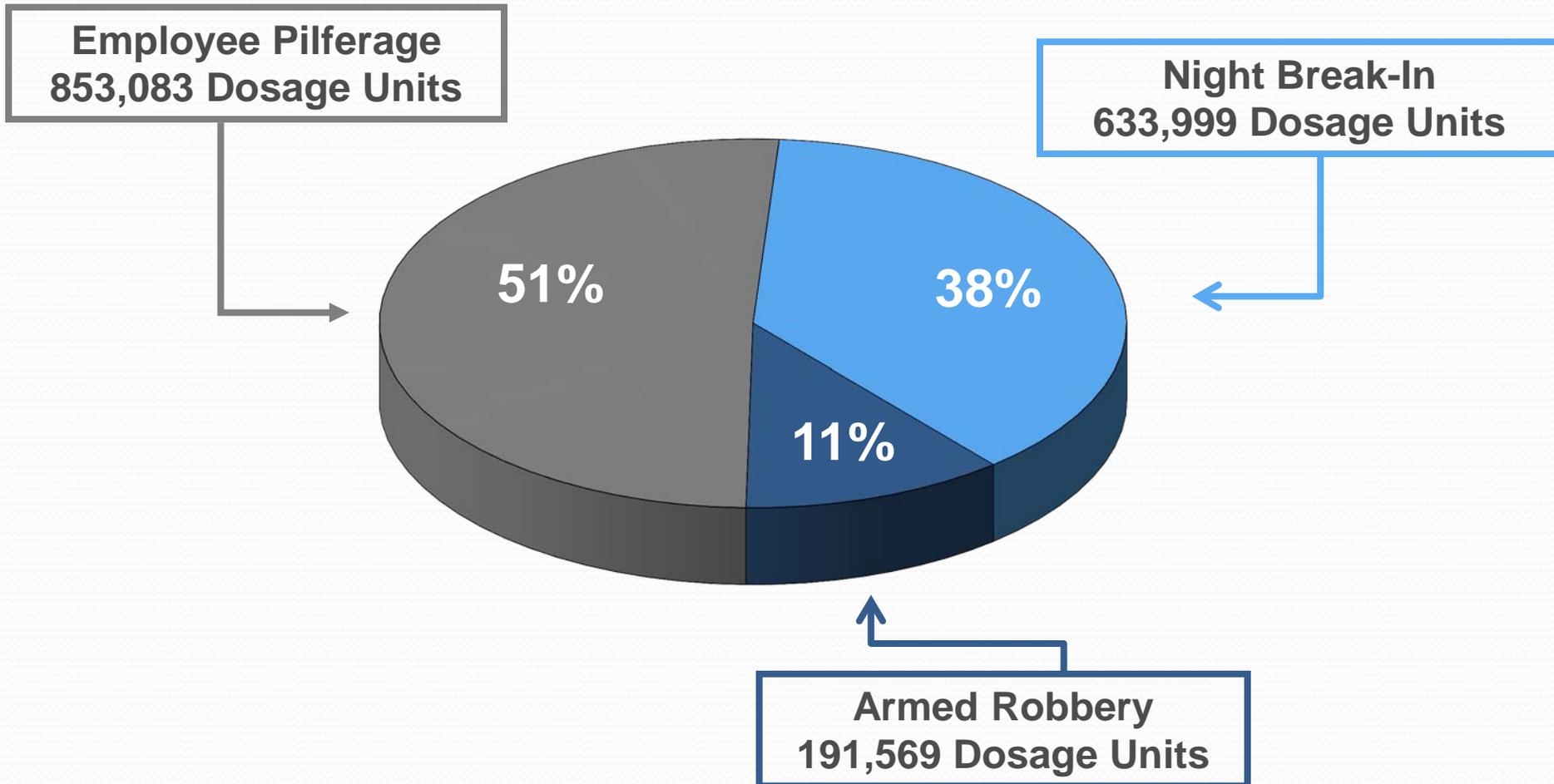
Number of Pharmacy Thefts in North Carolina January 1, 2010 – December 31, 2013



North Carolina

Pharmacy Thefts in Dosage Units

January 1, 2010 – December 31, 2013



Sources of Diversion

- **Burglaries**
- **Employee Theft** 
- **Armed Robberies**
- **Illegal & Improper Prescribing**
- **Prescription Forgery**
- **Doctor Shopping**
- **In Transit Losses**

Tips For Preventing Employee Theft

- **Screen applicants**
- **Properly train and supervise employees**
- **Institute a zero tolerance policy on employee theft**
- **Develop and provide written guidelines (SOPs)**
- **Set the example**
- **Conduct surprise audits**
- **Personally look into customer complaints**

Tips For Preventing Employee Theft

- **Be alert to disgruntled or stressed employees**
- **Be aware of unexplained rises in employee's living standard**
- **Inspect employee's bags, lunch bags and backpacks**
- **Limit access**
- **Open lines of communication**

Questions?





Drug Theft Diversion Issues

Sunday, February 9, 2014

JK9M

