WHO YA GONNA CALL?

U.S. Department of Justice
Drug Enforcement Administration
Office of Diversion Control

Maria S. Nunes
Acting Chief

DEA Headquarters
Import/Export Unit (ODGI)
Regulatory Section

Telephone: (202) 307-3480
Fax: (202) 307-4702
E-Mail: maria.s.nunes@usdoj.gov
PRESENTATION TOPICS

DEA Mailing Address
Points of Contact
DEA Form-486s Processed
Chemicals Processed
DEA Form-486 Best Practices
Best Practices for Amendments
Best Practices for Return Information
Not Best Practices for DEA Form-486
DEA MAILING ADDRESS

DEA Headquarters via U.S. Postal Service
DEA HQS.
Attn: Import/Export Unit (ODGI)
Washington, DC 20537

DEA Headquarters via USPS & Commercial Carriers
Drug Enforcement Administration
Attn: Import/Export Unit (ODGI)
8701 Morrissette Drive
Springfield, VA 22152
POINTS OF CONTACT

DEA Form-486 (Exports)
Ms. Chantay Cunningham (202) 307-4916
Ms. Carmencita Hamer (202) 307-0545

DEA Form-486 (Imports & International)
Mr. Harry McFadden (202) 307-4761

Another Important Telephone Number
Mr. Fac Simile (202) 307-4702
DEA FORM-486s PROCESSED

<table>
<thead>
<tr>
<th>Year</th>
<th>DEA Form-486 Exports</th>
<th>DEA Form-486 Imports</th>
</tr>
</thead>
<tbody>
<tr>
<td>2004</td>
<td>7,229</td>
<td>4,748</td>
</tr>
<tr>
<td>2005</td>
<td>7,903</td>
<td>3,513</td>
</tr>
<tr>
<td>2006</td>
<td>7,355</td>
<td>1,840</td>
</tr>
<tr>
<td>2007</td>
<td>9,557</td>
<td>1,519</td>
</tr>
<tr>
<td>2008</td>
<td>6,433</td>
<td>1,166</td>
</tr>
</tbody>
</table>
**BEST PRACTICES FOR DEA FORM-486s**

Drug Enforcement Administration  
Office of Diversion Control, Regulatory Section

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### Import / Export Declaration for List I and List II Chemicals

**SEE REVERSE INSTRUCTIONS FOR PRIVACY ACT**

<table>
<thead>
<tr>
<th>1a. Type of Transaction:</th>
<th>[ ] IMPORT</th>
<th>[X] EXPORT</th>
<th>[ ] INTERNATIONAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>1b. Type of Submission:</td>
<td>[X] ORIGINAL</td>
<td>[ ] AMENDED</td>
<td>[ ] WITHDRAWAL</td>
</tr>
</tbody>
</table>

**1c. WARNING!**  
15-day advance notice required for initial shipment or for company that has lost regular importer or regular customer status. See 21 C.F.R. Part 1313 for further details.  
[ ] I certify I have met the conditions for the waiver of 15-day advance notice requirement.


- **CAVERLY CHEMICAL COMPANY**
- 600 ARMY BEATS NAVY DRIVE  
- ARLINGTON, VA 22202  
- 703-555-1212 (T) 703-444-1111 (F)

**DEA Control Number**

- 052458CPX

**DEA Registration Number (for List I only):**

- 052458CPX

**Purchase/Invoice no. (optional):**

- 1958-0524

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**2b. IF IMPORT, LIST FOREIGN CONSIGNOR; IF EXPORT OR INTERNATIONAL TRANSACTION, LIST FOREIGN TRANSFEREE.**

- **FERRITTO FINE FRAGRANCES**
- AV VICTOR HUGO  
- 75116 PARIS, FRANCE  
- (33) 1-558-8-9339

**Foreign permit no. (If applicable):**

- P/2008/08/1440
BEST PRACTICES FOR DEA FORM-486s

• Use Upper Case or a Larger Font for all Information

• Enter Only Necessary Address Information for the Foreign Company; It’s not Necessary to Include their Facsimile Number

• Enter DEA Registration Number when Exporting or Importing List I Chemicals

• Provide a Purchase/Invoice No. or Tracking Number

• For U.S. Exports, Provide the Permit Number if the Competent National Authority (CNA) has issued an Import Permit
### BEST PRACTICES FOR DEA FORM-486s

<table>
<thead>
<tr>
<th>Listed Chemicals to be Imported / Exported / Brokered</th>
<th>3b. Name of chemicals as designated by Title 21 C.F.R. 1310.02</th>
<th>3c. Number of containers, size, net weight of each chemical (kg). For drug products, show number of dosage units. Show net total weight per chemical.</th>
<th>3d. DATE OF ACTUAL IMPORT/EXPORT AND ACTUAL QUANTITY (To be completed by person named in (2a).) If same as 3c, write &quot;same as 3c.&quot;</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>DAYTIME COUGH &amp; COLD</strong></td>
<td>CP PSEUDOEPHEDRINE</td>
<td>50 BOTTLES X 100 TABS/BOTTLE X 37.5 MG/TAB = 187,500 MG/1000 = 187.5 KG</td>
<td>8/25/2008</td>
</tr>
<tr>
<td><strong>PSEUDOEPHEDRINE</strong></td>
<td>PSEUDOEPHEDRINE</td>
<td>1 DRUM X 40 KG/DRUM = 40 KG</td>
<td>8/30/2008</td>
</tr>
<tr>
<td><strong>PHOSPHORUS LUMPS</strong></td>
<td>RED PHOSPHORUS</td>
<td>3 BOTTLES X 5 KG/BOTTLE = 15 KG</td>
<td>8/25/2008</td>
</tr>
</tbody>
</table>

**187.5 KG**

**8/25/2008**
BEST PRACTICES FOR DEA FORM-486s

- Only use List I & II Chemical Names in Column 3b per § 1310.02; Exception, use CP with List I Chemical Name for Drug Products

- For Drug Products, the Net Weight must be for ONLY the Active Ingredient

- The Net Weight in Column 3c must be in Kilograms

- Do NOT provide “Return Information” in Column 3d on initial submissions
### BEST PRACTICES FOR DEA FORM-486s

#### Drug Enforcement Administration
**Office of Diversion Control, Regulatory Section**

<table>
<thead>
<tr>
<th>Listed Chemicals to be Imported / Exported / Brokered</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>3a. Name and Description of chemical appearing on label or container. For drug products, show dosage strength and dosage size.</td>
<td></td>
</tr>
<tr>
<td>3b. Name of chemicals as designated by Title 21 C.F.R. 1310.02</td>
<td></td>
</tr>
<tr>
<td>3c. Number of containers, size, net weight of each chemical (kg). For drug products, show number of dosage units. Show net total weight per chemical.</td>
<td></td>
</tr>
<tr>
<td>3d. DATE OF ACTUAL IMPORT/EXPORT AND ACTUAL QUANTITY (To be completed by person named in (2a).) If same as 3c, write &quot;same as 3c.&quot;</td>
<td></td>
</tr>
</tbody>
</table>

**BOGGS BULK MIXTURE**

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ACETONE (23%)</strong></td>
<td><strong>ACETONE</strong></td>
<td><strong>1 TANKER</strong></td>
<td></td>
</tr>
<tr>
<td><strong>TOLUENE (15%)</strong></td>
<td><strong>TOLUENE</strong></td>
<td><strong>10,000 KG/TANKER X 15% = 1,500 KG</strong></td>
<td></td>
</tr>
<tr>
<td><strong>XYLENE (62%)</strong></td>
<td><strong>XYLENE</strong></td>
<td><strong>10,000 KG/TANKER X 62% = 6,200 KG</strong></td>
<td></td>
</tr>
</tbody>
</table>

**TANKER X**

- **10,000 KG/TANKER X 62% = 6,200 KG**
- **10,000 KG/TANKER X 23% = 2,300 KG**
- **10,000 KG/TANKER X 15% = 1,500 KG**
BEST PRACTICES FOR DEA FORM-486s

- Do Not list any Substances on the DEA Form-486 that are not List I or II Chemicals
- Include the Concentration/Percentage Amounts for each Listed Chemical in a Mixture
- Do the Math in Column 3c for each Listed Chemical on the DEA Form-486
BEST PRACTICES FOR DEA FORM-486s

Drug Enforcement Administration
Office of Diversion Control, Regulatory Section

4a. [ ] FOREIGN ✑ DOMESTIC
PORT OF EXPORTATION: NEWARK, NJ
APPROX. DEPARTURE DATE: 9/17/2008

4b. ✑ FOREIGN [ ] DOMESTIC
PORT OF IMPORTATION: FRANKFURT, GE
APPROX. ARRIVAL DATE: 9/19/2008

5. MODE OF TRANSPORTATION, NAME OF VESSEL, OR NAME OF CARRIER:
AIR FREIGHT
FEDEX EXPRESS

SIGNATURE OF AUTHORIZED INDIVIDUAL (Print or Type Name below Signature)

STEPHEN M. VIA

DATE:
9/1/2008

Print Name: STEPHEN M. VIA 202-307-8294
stephen.m.via@usdoj.gov

DEA form - 486 (Previous version obsolete.)
September 2006

Copy 1
BEST PRACTICES FOR DEA FORM-486s

- Provide Complete Information for all Fields; All Field Values are Required for Processing a DEA Form-486
- A NULL Value is not a Valid Field Entry, Neither is TBD
- Print and Sign your Name Clearly in the Signature Block and Include your Telephone number
- Provide your E-mail Address or your Company’s E-mail Address; Used for Sending Control Number
6. RETURN DECLARATION FOR EXPORTS AND INTERNATIONAL TRANSACTIONS  

(Name & Quantity of List I and List II Chemicals exported to the Transferee or resulting from International Transaction. MUST be returned within 30 days from actual date of export (3d). If same as 3d, write “same as 3d.”)

<table>
<thead>
<tr>
<th>SIGNATURE:</th>
<th>DATE:</th>
</tr>
</thead>
</table>

For IMPORTS: List TRANSFEE(S) UPON INITIAL APPLICATION (Names, address, telephone, and fax no.) Fill in 7 through 9. USE SEPARATE SHEET IF MORE THAN 3 TRANSFEEES. For INTERNATIONAL TRANSACTIONS: Show foreign supplier in 7a and 7b only.

<table>
<thead>
<tr>
<th>7a. NAME OF TRANSFEE OF IMPORT</th>
<th>7b. ADDRESS OF TRANSFEE OF IMPORT</th>
</tr>
</thead>
</table>

7c. Name & Quantity of List I and List II chemical to be Imported for this transferee. (Enter names as shown on labels; numbers and sizes of packages; and strength.)

<table>
<thead>
<tr>
<th>7d. Name &amp; Quantity of List I and List II Chemical Actually Imported and Date Imported for this Transferee</th>
</tr>
</thead>
</table>

7e. RETURN DECLARATION (Name & Quantity of List I and List II Chemical Distributed to the Transferee. MUST be returned within 30 days of date of actual import (7d). If amount not completely distributed, send a Return Declaration 30 days from the next distribution.). If the whole order was distributed, may say “all import distributed” and the date.

<table>
<thead>
<tr>
<th>SIGNATURE:</th>
<th>DATE:</th>
</tr>
</thead>
</table>
BEST PRACTICES FOR AMENDMENTS

- For Amendments, Facsimile a Copy of the Original DEA Form-486 with the Amended Box Checked and the DEA Control Number Entered in the DEA Control Number Field; Do Not Change the Date Signed Date; keep the Copy for your Records

- Write “Amendment” Across the Top of the DEA Form-486 if you haven’t been given the Control Number

- Do Not Change the Purchase/Invoice No. or Tracking Number

- Do Not Change Original Information (Cross through it with a single line) on Amendments
BEST PRACTICES FOR RETURN INFORMATION

- Do Not provide “Return Information” in Column 3d on initial submissions; Provide Actual Date and Actual Quantity within 30 Days after Transaction has occurred.

- Include the 2ND Page, Signed and Dated, and Note the DEA Control Number on it.

- If the Amended Box is not already Checked do Not Check it for Return Information … it is not an Amendment.

- Do Not Change any Original Information prior to Filing the Return Information.
### Not Best Practices for DEA Form-486s

<table>
<thead>
<tr>
<th>3a. Name and Description of chemical appearing on label or container. For drug products, show dosage strength and dosage size.</th>
<th>3b. Name of chemicals as designated by Title 21 C.F.R. 1310.02</th>
<th>3c. Number of containers, size, net weight of each chemical (kg)</th>
<th>3d. DATE OF A IMPORT/EX QUANTITY person name</th>
</tr>
</thead>
<tbody>
<tr>
<td>BLEND WASH SOLVENT</td>
<td>BLEND WASH</td>
<td>15,967 KGS</td>
<td></td>
</tr>
</tbody>
</table>
### NOT BEST PRACTICES FOR DEA FORM-486s

<table>
<thead>
<tr>
<th>3a. Name and Description of chemical appearing on label or container. For drug products, show dosage strength and dosage size.</th>
<th>3b. Name of chemicals as designated by Title 21 C.F.R. 1310.02</th>
<th>3c. Number of containers, size, net weight of each chemical (kg)</th>
<th>3d. DATE OF ACTUAL IMPORT/EXPORT AND ACTUAL QUANTITY (To be completed by person named in (2a).)</th>
</tr>
</thead>
<tbody>
<tr>
<td>BLEND WASH SOLVENT</td>
<td>BLEND WASH CONSISTS OF FOLLOWING COMPONENTS</td>
<td>15,967 KGS TOTAL</td>
<td></td>
</tr>
<tr>
<td>METHYL Ethyl Ketone</td>
<td>Acetone</td>
<td>3193 KGS</td>
<td>3193 KGS</td>
</tr>
<tr>
<td>Isopropyl Alcohol</td>
<td>Methanol</td>
<td>3193 KGS</td>
<td>6386 KGS</td>
</tr>
</tbody>
</table>

---

I've checked with three that is no
### NOT BEST PRACTICES FOR DEA FORM-486s

**Drug Enforcement Administration**  
**Office of Diversion Control, Regulatory Section**

<table>
<thead>
<tr>
<th>1a. Type of Transaction</th>
<th></th>
<th>1b. Type of Submission</th>
<th></th>
<th>1c. DEA Control Number</th>
</tr>
</thead>
</table>

**1d. WARNING**  
15-day advance notice required for initial shipment or for company that has lost regular importer or regular customer status. See 21 C.F.R. Part 1313 for further details.  
I certify I have met the conditions for the waiver of 15-day advance notice requirement.

<table>
<thead>
<tr>
<th>2a. U.S. IMPORTER/U.S. EXPORTER/U.S. BROKER</th>
<th>2b. IF IMPORT, LIST FOREIGN CONSIGNOR; IF EXPORT OR INTERNATIONAL TRANSACTION, LIST FOREIGN TRANSFEREE.</th>
</tr>
</thead>
</table>

| (Name, address, telephone, and fax no.) | (Name, address, telephone, and fax no.) |

WA  
BC. Canada V6X1W5

**3. Listed Chemicals to be Imported/Exported/Brokered**

<table>
<thead>
<tr>
<th>3a. Name and Description of chemical appearing on label or container. For drug products, show dosage strength and dosage size.</th>
<th>3b. Name of chemicals as designated by Title 21 C.F.R. 1310.02</th>
<th>3c. Number of containers, size, net weight of each chemical (kg). For drug products, show number of dosage units. Show not total weight per chemical.</th>
<th>3d. DATE OF ACTUAL IMPORT/EXPORT AND ACTUAL QUANTITY (to be completed by person named in (2b)). If same as 3c, write &quot;same as 3c.&quot;</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Blend</th>
<th>Toluene</th>
<th>96X5 gallon pails</th>
<th>June 4, 2008</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Net Weight= 3,840 lbs</td>
<td>96X5 gallon pails</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Net Weight= 3,840 lbs</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>4a. FOREIGN</th>
<th></th>
<th>4b. FOREIGN</th>
<th></th>
</tr>
</thead>
</table>

| 4a. DOMESTIC |  | 4b. DOMESTIC |  |

<table>
<thead>
<tr>
<th>PORT OF EXPORTATION, Abbottford, B.C. Canada</th>
<th>PORT OF IMPORTATION</th>
<th>APPROX. DEPARTURE DATE:</th>
<th>APPROX. ARRIVAL DATE:</th>
</tr>
</thead>
</table>

**APPROX. DEPARTURE DATE:** June 4, 2008

**MODE OF TRANSPORTATION, NAME OF VESSEL, OR NAME OF CARRIER:**

**SIGNATURE OF AUTHORIZED INDIVIDUAL (Print or TYPE Name below) **

**DATE:** June 4, 2008
### DEA Number (for List I only):

5100062017

**NOT BEST PRACTICES FOR DEA FORM-486s**

<table>
<thead>
<tr>
<th>3a. Name and Description of chemical appearing on label or container. For drug products, show dosage strength and dosage size.</th>
<th>3b. Name of chemicals as designated by Title 21 C.F.R. 1310.02</th>
<th>3c. Number of containers, size, net weight of each chemical (kg). For drug products, show number of dosage units.</th>
<th>3d. DATE OF ACTUAL IMPORT/EXPORT AND ACTUAL QUANTITY (To be completed by person named in (2a))</th>
</tr>
</thead>
<tbody>
<tr>
<td>MEK CONTENT 99 WT% SP.GR.(20/20⁰C) 0.807 0.807 COLOR, HAZEN PT-PT CO COLORNO. 10 MOLECULAR WEIGHT 72.10 WATER NO TURBIDITY ON DILUTION WITH GASOLINE ACIDITY (AS ACETIC ACID) 0.003 WT % NON-VOLATILE MATTER, G PER 100ML 0.002</td>
<td>METHYLETHYL KETONE</td>
<td>480 METAL DRUMS 167.829 KGS. / NET / EACH INTO CONTAINERS AT 80,557 KGS. TOTAL</td>
<td>1st Partial Shipment of 400 DRUMS SHIPPED OUT ON MAY 9TH 2008. BILL OF LADING ATTACHED 2nd Partial Shipment of 80 remaining drums shipped out on May 16 2008. BILL OF LADING ATTACHED TOTAL DRUMS SHIPPED IS 480.</td>
</tr>
</tbody>
</table>
### NOT BEST PRACTICES FOR DEA FORM-486s

#### Drug Enforcement Administration
Office of Diversion Control, Regulatory Section

3. Listed Chemicals to be imported / Exported / Brokered

<table>
<thead>
<tr>
<th>Product Name: MEK</th>
<th>3b. Name of chemicals as designated by Title 21 C.F.R. 1310.02</th>
<th>3c. Number of containers, size, net weight of each chemical (kg). For drug products, show number of dosage units.</th>
<th>3d. DATE OF ACTUAL IMPORT/EXPORT AND ACTUAL QUANTITY (To be completed by person named in 2a.1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Colloidal Silica in Methyl Ethyl Ketone</td>
<td>Methyl Ethyl Ketone (MEK)</td>
<td>Number of Containers: 80</td>
<td>8/1/2008</td>
</tr>
<tr>
<td>Amorphous Silica Dispersed in Methyl Ethyl Ketone</td>
<td>2-Butanon CAS Reg. No.: 78-93-3</td>
<td>Size: 55 gallon drum</td>
<td></td>
</tr>
<tr>
<td>MEK contains Amorphous Silica and about 70% Methyl Ethyl Ketone</td>
<td>DEA Chemical Code: 6714</td>
<td>Weight of Material in each drum: 180 kg</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Net Weight of Material: 14,400 kg</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Net Weight of MEK: 10,080 kg</td>
<td></td>
</tr>
</tbody>
</table>

4a. FOREIGN ☒ DOMESTIC

PORT OF EXPORTATION: Los Angeles, CA

APPROX. DEPARTURE DATE: August 1, 2008

4b. ☒ FOREIGN ☐ DOMESTIC

PORT OF IMPORTATION: Tokyo, Japan

APPROX. ARRIVAL DATE: August 17, 2008

5. MODE OF TRANSPORTATION, NAME OF VESSEL, OR NAME OF CARRIER:

Vessel

SIGNATURE OF AUTHORIZED INDIVIDUAL (Print or Type Name below Signature)

Print Name: DEA form 486 (Previous version obsolete.) September 2006

DATE: 08/06/2008

[Signature]
### 3. Listed Chemicals to be Imported / Exported / Brokered

<table>
<thead>
<tr>
<th>3a. Name and Description of chemical appearing on label or container. For drug products, show dosage strength and dosage size.</th>
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<th>3c. Number of containers, size, net weight of each chemical (kg). For drug products, show number of dosage unit.</th>
<th>3d. DATE OF ACTUAL IMPORT/EXPORT AND ACTUAL QUANTITY (To be completed by person named in 2a).</th>
</tr>
</thead>
<tbody>
<tr>
<td>A21-30%</td>
<td>TOULENE</td>
<td>1X20 10788 KGS NET 58 X 55 GAL DRUMS</td>
<td>8/7/2008 - 42.41 - total 72 DRUMS total</td>
</tr>
</tbody>
</table>

2008 AUG 1

RECEIVED
Any Questions?