

APPLICATION FOR REGISTRATION Under the Controlled Substances Act

INSTRUCTIONS

Save time - apply on-line at www.deadiversion.usdoj.gov

- 1. To apply by mail complete this application. Keep a copy for your records.
2. Mail this form to the address provided in Section 7 or use enclosed envelope.
3. The "MAIL-TO ADDRESS" can be different than your "PLACE OF BUSINESS" address.
4. If you have any questions call 800-882-9539 prior to submitting your application.

IMPORTANT: DO NOT SEND THIS APPLICATION AND APPLY ON-LINE.

DEA OFFICIAL USE :

Grid for DEA Official Use

Do you have other DEA registration numbers?

NO YES checkboxes

MAIL-TO ADDRESS

Please print mailing address changes to the right of the address in this box.

FEE FOR ONE (1) YEAR - see Section 2 FEE IS NON-REFUNDABLE

SECTION 1 APPLICANT IDENTIFICATION

Name 1 (Business or Facility Name)

Name 1 input field

Name 2 (Continuation of business name)

Name 2 input field

PLACE OF BUSINESS Street Address Line 1

Street Address Line 1 input field

PLACE OF BUSINESS Address Line 2

Address Line 2 input field

City

City input field

State

State input field

Zip Code

Zip Code input field

Business Phone Number

Business Phone Number input field

Point of Contact

Point of Contact input field

Business Fax Number

Business Fax Number input field

Email Address

Email Address input field

DEBT COLLECTION INFORMATION

Mandatory pursuant to Debt Collection Improvements Act

Tax Identification Number

Tax Identification Number input field

See additional information note #3 on page 4.

SECTION 2

BUSINESS ACTIVITY

- Chemical Distributor.....fee for one year is \$1147
Chemical Importer.....fee for one year is \$1147

Check one business activity box only

- Chemical Exporter.....fee for one year is \$1147
Chemical Manufacturer.....fee for one year is \$2293

SECTION 3

A. SCHEDULES

- List 1 chemicals

Enter specific codes on page 2.

B. MANUFACTURERS ONLY

Mark the appropriate box with an 'X' to indicate if List 1 chemicals are handled in bulk or dosage form.

- LIST 1 STAGE 1 Bulk synthesis/extraction

- LIST 1 STAGE 2 Dosage form manufacture

SECTION 4

Enter your state license information if you are currently authorized to manufacture distribute, import, or export the listed chemicals for which you are applying under the laws of the **state** or jurisdiction in which you are operating or propose to operate.

STATE LICENSE

NOT REQUIRED
by this state

State License Number

[Grid for State License Number]

What state issued this license ? _____

Expiration Date / /
MM - DD - YYYY

SECTION 5

LIABILITY

IMPORTANT

All questions in this section must be answered.

1. Has the applicant ever been **convicted of a crime** in connection with listed chemical(s) under state or federal law, or is any such action pending? YES NO

Date(s) of incident MM-DD-YYYY: []-[]-[]-[]-[]-[]

2. Has the applicant ever surrendered (for cause) or had a **federal** registration revoked, suspended, restricted, or denied, or is any such action pending? YES NO

Date(s) of incident MM-DD-YYYY: []-[]-[]-[]-[]-[]

3. Has the applicant ever surrendered (for cause) or had a **state** professional license or registration revoked, suspended, denied, restricted, or placed on probation, or is any such action pending? YES NO

Date(s) of incident MM-DD-YYYY: []-[]-[]-[]-[]-[]

4. If the applicant is a **corporation** (other than a corporation whose stock is owned and traded by the public), association, partnership, or pharmacy, has any officer, partner, stockholder, or proprietor been **convicted of a crime** in connection with listed chemical(s) under state or federal law, or ever surrendered, for cause, or had a **federal** listed chemical/controlled registration revoked, suspended, restricted, denied, or ever had a **state** professional license or controlled substance registration revoked, suspended, denied, restricted or placed on probation, or is any such action pending? YES NO

Date(s) of incident MM-DD-YYYY: []-[]-[]-[]-[]-[] *Note: If question 4 does not apply to you, be sure to mark 'NO'. It will slow down processing of your application if you leave it blank.*

EXPLANATION OF "YES" ANSWERS

Liability question # _____ Location(s) of incident: _____

Nature of incident:

Applicants who have answered "YES" to any of the four questions above **must provide a statement to explain each "YES" answer.**

Use this space or attach a separate sheet and return with application

Disposition of incident:

SECTION 6 EXEMPTION FROM APPLICATION FEE

Check this box if the applicant is a federal, state, or local government official or institution. Does not apply to contractor-operated institutions.

Business or Facility Name of Fee Exempt Institution. **Be sure to enter the address of this exempt institution in Section 1.**

[Grid for Business or Facility Name]

The undersigned hereby certifies that the applicant named hereon is a federal, state or local government official or institution, and is exempt from payment of the application fee.

FEE EXEMPT CERTIFIER

Signature of certifying official (other than applicant) _____ Date _____

Provide the name and phone number of the certifying official

Print or type name and title of certifying official _____ Telephone No. (required for verification) _____

SECTION 7

METHOD OF PAYMENT

Check one form of payment only

Check Make check payable to: **Drug Enforcement Administration**
See page 4 of instructions for important information.

American Express Discover Master Card Visa

Credit Card Number

[Grid for Credit Card Number]

Expiration Date

[Grid for Expiration Date]

Mail this form with payment to:

DEA Headquarters
ATTN: Registration Section/ODR
P.O. Box 2639
Springfield, VA 22152-2639

FEE IS NON-REFUNDABLE

Sign if paying by credit card

Signature of Card Holder _____

Printed Name of Card Holder _____

SECTION 8

APPLICANT'S SIGNATURE

Sign in ink

I certify that the foregoing information furnished on this application is true and correct.

Signature of applicant (sign in ink) _____ Date _____

Print or type name and title of applicant _____

WARNING: 21 USC 843(d), states that any person who knowingly or intentionally furnishes false or fraudulent information in the application is subject to a term of imprisonment of not more than 4 years, and a fine under Title 18 of not more than \$250,000, or both.

C.
CHEMICAL
CODES

Listed below are List 1 chemical codes. Check all the chemical codes you handle, and mark if it is bulk or dosage form. For more information, see our web site at www.deadiversion.usdoj.gov, 21 CFR 1308, or call 1-800-882-9539

*If you bulk manufacture a chemical, check the 'BULK?' column after the applicable class code.
If you manufacture the dosage form of a chemical, check the 'DOSAGE?' column after the applicable code.*

| LIST 1 CHEMICAL NAME | CODE | BULK? | DOSAGE? |
|---|------|-------|---------|
| <input type="checkbox"/> 3,4-Methylenedioxyphenyl-2-Propanone | 8502 | _____ | _____ |
| <input type="checkbox"/> Anthranilic Acid | 8530 | _____ | _____ |
| <input type="checkbox"/> Benzaldehyde | 8256 | _____ | _____ |
| <input type="checkbox"/> Benzyl Cyanide | 8735 | _____ | _____ |
| <input type="checkbox"/> Ephedrine | 8113 | _____ | _____ |
| <input type="checkbox"/> Ergonovine | 8675 | _____ | _____ |
| <input type="checkbox"/> Ergotamine | 8676 | _____ | _____ |
| <input type="checkbox"/> Ethylamine | 8678 | _____ | _____ |
| <input type="checkbox"/> Gamma Butyrolactone (GBL) | 2011 | _____ | _____ |
| <input type="checkbox"/> Hydriodic Acid | 6695 | _____ | _____ |
| <input type="checkbox"/> Hypophosphorous Acid and Salts | 6797 | _____ | _____ |
| <input type="checkbox"/> Iodine | 6699 | _____ | _____ |
| <input type="checkbox"/> Isosafrole | 8704 | _____ | _____ |
| <input type="checkbox"/> Methylamine | 8520 | _____ | _____ |
| <input type="checkbox"/> N-Acetylanthranilic Acid | 8522 | _____ | _____ |
| <input type="checkbox"/> N-Methylephedrine | 8115 | _____ | _____ |
| <input type="checkbox"/> N-Methylpseudoephedrine | 8119 | _____ | _____ |
| <input type="checkbox"/> N-Phenethyl-4-Piperidone | 8332 | _____ | _____ |
| <input type="checkbox"/> Nitroethane | 6724 | _____ | _____ |
| <input type="checkbox"/> Norpseudoephedrine | 8317 | _____ | _____ |
| <input type="checkbox"/> Phenylacetic Acid | 8791 | _____ | _____ |
| <input type="checkbox"/> Phenylpropanolamine | 1225 | _____ | _____ |
| <input type="checkbox"/> Piperidine | 2704 | _____ | _____ |
| <input type="checkbox"/> Piperonal | 8750 | _____ | _____ |
| <input type="checkbox"/> Propionic Anhydride | 8328 | _____ | _____ |
| <input type="checkbox"/> Pseudoephedrine | 8112 | _____ | _____ |
| <input type="checkbox"/> Red Phosphorus | 6795 | _____ | _____ |
| <input type="checkbox"/> Safrole | 8323 | _____ | _____ |
| <input type="checkbox"/> White Phosphorus | 6796 | _____ | _____ |

WRITE IN ADDITIONAL CODES You may write in additional chemical codes in this section. Attach a separate sheet if needed.

SECTION 1. APPLICANT IDENTIFICATION - Information must be typed or printed in the blocks provided to help reduce data entry errors. A physical address is required in address line 1; a post office box or continuation of address may be entered in address line 2. Fee exempt applicant must list the address of the fee exempt institution. The email address and point of contact are new data items to facilitate communication that are in the process of OMB approval and will soon be mandatory. Applicant must enter a valid tax identification number (TIN).

Debt collection information is mandatory pursuant to the Debt Collection Improvement Act of 1996.

SECTION 2. BUSINESS ACTIVITY - Indicate only one. Each type of business activity requires a separate application. If you are registered with DEA to manufacture, import, export, or distribute/dispense controlled substances, you do not have to register for the same activities with drug products that contain List 1 chemicals.

- You are required to register as a "manufacturer" if you manufacture a List 1 chemical and then distribute it. You do not have to register if you manufacture a List 1 chemical for internal consumption with no subsequent distribution of it.
- Registration as an importer conveys distribution privileges only for those List 1 chemicals imported.

SECTION 3A. SCHEDULES - Applicant is registering for List 1 chemicals on this application. However, applicant must still comply with state requirements; federal registration does not overrule state restrictions.

3B. MANUFACTURER ONLY - Mark the appropriate box to indicate if you are manufacturing List 1 chemicals in bulk or dosage form.

3C. CHEMICAL CODES - Applicant must check all List 1 chemicals to be handled and indicate if the chemical is in bulk or dosage form.

SECTION 4. STATE LICENSE(S) - Federal registration by DEA is based upon the applicant's compliance with applicable state and local laws. Applicant should contact the local state licensing authority prior to completing this application. If your state requires a license, provide that information and attach a copy to this application. IF YOUR STATE DOES NOT REQUIRE A LICENSE, MARK AN 'X' IN THE BOX TO INDICATE IT IS NOT REQUIRED BY YOUR STATE.

SECTION 5. LIABILITY - Applicant must answer all four questions for the application to be accepted for processing. If you answer "Yes" to a question, provide an explanation in the space provided. If you answer "Yes" to several of the questions, then you must provide a separate explanation describing the date, location, nature, and result of each incident. If additional space is required, you may attach a separate page.

SECTION 6. EXEMPTION FROM APPLICATION FEE - Exemption from payment of application fee is limited to federal, state or local government official or institution. The applicant's superior or agency officer must certify exempt status. The signature, authority title, and telephone number of the certifying official (other than the applicant) must be provided. The address of the fee exempt institution must appear in Section 1.

SECTION 7. METHOD OF PAYMENT - Indicate the desired method of payment. Make checks payable to "Drug Enforcement Administration". Third-party checks or checks drawn on foreign banks will not be accepted. **FEES ARE NON-REFUNDABLE.**

SECTION 8. APPLICANT'S SIGNATURE - Applicant MUST sign in this section or application will be returned. Card holder signature in section 7 does not fulfill this requirement.

Notice to Registrants Making Payment by Check

Authorization to Convert Your Check: If you send us a check to make your payment, your check will be converted into an electronic fund transfer. "Electronic fund transfer" is the term used to refer to the process in which we electronically instruct your financial institution to transfer funds from your account to our account, rather than processing your check. By sending your completed, signed check to us, you authorize us to copy your check and to use the account information from your check to make an electronic fund transfer from your account for the same amount as the check. If the electronic fund transfer cannot be processed for technical reasons, you authorize us to process the copy of your check.

Insufficient Funds: The electronic funds transfer from your account will usually occur within 24 hours, which is faster than a check is normally processed. Therefore, make sure there are sufficient funds available in your checking account when you send us your check. If the electronic funds transfer cannot be completed because of insufficient funds, we may try to make the transfer up to more two times.

Transaction Information: The electronic fund transfer from your account will be on the account statement you receive from your financial institution. However, the transfer may be in a different place on your statement than the place where your checks normally appear. For example, it may appear under "other withdrawals" or "other transactions". You will not receive your original check back from your financial institution. For security reasons, we will destroy your original check, but we will keep a copy of the check for record-keeping purposes.

Your Rights: You should contact your financial institution immediately if you believe that the electronic fund transfer reported on your account statement was not properly authorized or is otherwise incorrect. Consumers have protections under Federal law called the Electronic Fund Transfer Act for an unauthorized or incorrect electronic fund transfer.

ADDITIONAL INFORMATION

1. No registration will be issued unless a completed application form has been received (21 CFR 1301.13).
2. In accordance with the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number. The OMB number for this collection is 1117-0031. Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information.
3. The Debt Collection Improvements Act of 1996 (PL 104-134) requires that you furnish your Taxpayer Identification Number and/or Social Security Number on this application. This number is required for debt collection procedures if your fee is not collectible.
4. **PRIVACY ACT INFORMATION**
 AUTHORITY: Section 302 and 303 of the Controlled Substances Act of 1970 (PL91-513) and Debt Collection Improvements Act of 1966 (PL 104-134) for SSN and/or TIN
 PURPOSE: To obtain information required to register applicants pursuant to the Controlled Substances Act of 1970
 ROUTINE USES: The Controlled Substances Act registration system produces special reports as required for statistical analytical purposes. Disclosures of information from this system are made to the following :
 A. Other federal law enforcement and regulatory agencies for law enforcement and regulatory purposes
 B. State and local law enforcement and regulatory agencies for law enforcement and regulatory purposes
 C. Persons registered under the Controlled Substances Act (PL 91-513) for the purpose of verifying registration
 EFFECT: Failure to complete form will preclude processing of the application.

**Your Local
DEA Office**

CONTACT INFORMATION

All offices are listed on web site
(800, 877, and 888 are toll-free)

INTERNET:

www.deadiversion.usdoj.gov

TELEPHONE:

HQ Call Center (800)882-9539

WRITTEN INQUIRIES:

DEA
P.O. Box 28083
Washington, D.C. 20038-8083